#### Nonlinear drive of linearly damped modes by phase-space structures.

**Maxime Lesur** 





WCI Center for Fusion Theory



 High-energy ions drive Alfvén Eigenmodes, which may lead to their premature ejection.

 Fast particles transport and loss depend on the generation and evolution of phase-space structures (holes, clumps, blobs, granulations...)

Dupree, et al., PF (82) Berman, et al., PF (85) Berk, et al., PoP (99) Kosuga, et al., PoP (10)

 Linearly damped modes can be driven by these structures (subcritical instabilities)

Berk, Breizman, et al., PoP (99)



### Approach

 First step: single resonance, which features isolated, long-lived coherent structures (Kubo >> 1)



Self-binding structures (water-bag model with 2 BGK holes).

Roberts and Berk, PRL(67) Berk, PF(70)

- ↔ 2D fluid in a gravitational field
- ↔ negative-mass instability



 BB model as a tractable model with key ingredients, with qualitative and quantitative similarities with experiments
 Berk, Breizman, et al., PFB(90), PRL(96), PoP(99)



- I) Berk-Breizman model
- II) Momentum exchange
- III) Phasestrophy growth
  - Summary
  - Perspectives

### The BB model

Berk, et al., PoP(95)

Classic "bump-on-tail" instability, with collisions and external damping.

 1D kinetic equation with a collision operator including dynamical friction (drag), and velocity-space diffusion,





The presence of enough damping leads to the creation and evolution of phase-space structures. This self-organisation process allows to tap much more free energy to compensate for  $\gamma_d$ . Berk, Breizman, Petviashvili, PLA(97)



### Subcritical instability







# I) The Berk-Breizman model II) Momentum exchange III) Phasestrophy growth

Summary

Perspectives



## In $\delta f$ simulations with $f_0(v)$ =const., we observe symmetric hole/clump pairs



### Momentum exchange



Without dissipation,

$$\frac{\langle p \rangle}{\mathrm{d}t} = 0$$

hole momentum must balance clump momentum

Incompatible with symmetric hole/clump pairs, where  $v_h \langle p \rangle_c = v_c \langle p \rangle_h$ 

With dissipation,  $\frac{\mathrm{d}\langle p\rangle}{\mathrm{d}t} = \int \langle E\delta f \rangle \,\mathrm{d}v$ 

holes and clumps can exchange momentum with the wave

 $\Rightarrow$  Wave/particles momentum exchange is a mechanism of hole/clump creation and growth

Conditions/threshold for existence of phase-space structures?



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$$f = f_0(v) + \delta f(x, v, t)$$

$$\downarrow | \delta f_{\perp}|$$

$$\downarrow | clump$$

$$\downarrow = \int \langle \delta f^2 \rangle dv$$

$$Diamond, Itoh^2, Modern Plasma Physics Kosuga, Diamond, to be published$$
In parallel with potential enstrophy in quasi-geostrophic systems,  

$$\mathcal{E} \equiv \langle \delta q^2 \rangle$$

$$(q \text{ is the potential enstrophy in quasi-geostrophic systems,}$$

(q is the potential vorticity)

Relation with relative entropy:

with relative entropy:  
If 
$$f_0(v)$$
=const.,  $\Psi = 2f_0 \delta S + O\left[\left(\frac{\delta f}{f_0}\right)^3\right]$   
with  $\delta S \equiv \int \left\langle f \log \frac{f}{f_0} \right\rangle dv$ 

### Phasestrophy growth



Total phasestrophy grows in time as holes and clumps are continuously created.

The growth is nonlinear.

Phasestrophy growth is proportional to E-f correlation

$$\frac{\mathrm{d}\Psi}{\mathrm{d}t} = -2\frac{\partial f_0}{\partial v} \int \langle E\delta f \rangle \,\mathrm{d}v$$

(assuming a constant slope for  $f_0$ )

Also proportional to change in momentum associated with h/c evolution  $\frac{\mathrm{d}\Psi}{\mathrm{d}t} = -2\frac{\partial f_0}{\partial v}\frac{\mathrm{d}\langle p\rangle}{\mathrm{d}t}$ 

### Drive by dissipation



### E Single structure



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## Hole/clump growth rate



## Scaling with damping rate

We repeat the analysis for different distribution functions, and different values of  $\gamma_d$ 



 $\gamma_{hc}$  is independent of the sign of  $\gamma$ 

⇒New understanding of subcritical instability

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Nonlinear growth only requires  $\gamma_d > 0$  (and a large enough seed)



 Growth of phase-space structures, quantified by phasestrophy growth, can be related equivalently to:

- Momentum exchange between the structure and the wave,
- Damping power.

• For a single hole or clump, a new theory gives a simple expression for the structure growth rate,  $\gamma_{hc} \sim \gamma_L \gamma_d$ . Qualitative agreement with simulations. Some discrepancy at the beginning of chirping.

New interpretation for nonlinear drive of instability

Take-out message Importance of phase-space structures: can drive instabilities, transport, ...



- Improvements on this work (minimum seed, validity range, effect of collisions).
- Application to drift wave holes and their interaction with zonal flow.
- Multiple resonances (next slide)

### Phase-space turbulence

Extension of quasi-linear theory with interacting phase-space structures.



Multiple-resonances simulation showing coherent phase-space structures, which disappear on a collisional diffusion time (not on a quasi-linear diffusion time).